



Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

7

Complete if Known

Application Number	09/677,535
Filing Date	10/02/2000
First Named Inventor	Bruce BENT et al.
Art Unit	3696
Examiner Name	Daniel S. Feiten
Attorney Docket Number	049212-0103

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
E1	US - 5,220,501	06-15-1993	LAWLOR et al.		
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Examiner Signature

/Daniel Feiten/

Date Considered

06/22/2009

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
Sheet	2	of	7	Application Number	09/677,535
				Filing Date	10/02/2000
				First Named Inventor	Bruce BENT et al.
				Art Unit	3696
				Examiner Name	Daniel S. Felten
				Attorney Docket Number	049212-0103

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E41	US - 2006/0167773		07-27-2006	YANG et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
E59	WO - 02/42952		05-30-2002	COMMONWEALTH BANK OF AUSTRALIA	T ^d

NON PATENT LITERATURE DOCUMENTS					
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E60		Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Promontory Financial Network, LLC and MBSC Securities Corporation, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2675.			
E61		Lawsuit by Island Intellectual Property LLC, Lids Capital LLC, and Double Rock Corporation against Deutsche Bank AG, Deutsche Bank Trust Company Americas and Total Bank Solutions, LLC, including Cover Sheet, Summons, Complaint and Rule 7.1 Statement, March 24, 2009, Case No. 09 CV 2677.			

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				Art Unit	3696
				Examiner Name	Daniel S. Felten
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Sheet	3	of	7		

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E62		Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation p/k/a Reserve Management Corporation, Complaint, March 24, 2009, Civil Action No. 1:09 CV 316.				
E63		Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation p/k/a Reserve Management Corporation and Lids Capital LLC, Amended Complaint, March 27, 2009, Civil Action No. 1:09 CV 316.				
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E65		Lawsuit by Promontory Interfinancial Network, LLC against Double Rock Corporation, p/k/a Reserve Management Corporation, Island Intellectual Property LLC and Lids Capital LLC, including Cover Sheet, Summons and Complaint, April 14, 2009, Civil Action No. 3:09 CV 217.				
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Sheet	4	of	7		

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E75		Capital Briefs: Corporate Checking Account Relief Sought, American Banker, Vol. 162, July 28, 1997, 1 Sheet.	
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E78		CMA, The Merrill Lynch Cash Management Account Financial Service, Merrill Lynch, Pierce, Fenner & Smith Incorporated, January 1997, 35 Sheets.	
E79		Deposit Growth Strategies For Financial Institutions, New Sweep Account Helps Retain \$40 Million in Business Deposits, Vol. 7, No. 12, The Reserve Funds, May 2001, 1 Sheet.	
E80		FDIC Federal Register Citations: Email from Bert Ely to Comments, 03/08/2006, Subject: Large-Bank Deposit Insurance Determination Proposal- RIN 3064-AC98 - Regs@fdic.gov. Attached, also from FDIC Federal Register Citations: Email From American Banker, by Bert Ely, 02/24/2006, Viewpoint: FDIC's Account-Link Plan a Pointless, Costly Threat	
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E87		Letter From Joseph A. DiNuzzo, Counsel, October 20, 1999, FDIC, Federal Deposit Insurance Corporation, 1 Sheet.	

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E88		Letter From Roger A. Hood, Assistant General Counsel, July 16, 1986, FDIC, Federal Deposit Insurance Corporation, Legal Division, 1 Sheet.			
E89		Letter From Jamey Basham, Attorney, LEXSEE 1990 FDIC Interp. Ltr., Lexis 1, Federal Deposit Insurance Corporation, FDIC-90-02, January 3, 1990, 2 Sheets.			
E90		Letter From Merle Y. Waldman, LEXSEE 1985 Sec No- Act, Lexis 1593, Securities Exchange Act of 1934 -- Section 15(a), January 8, 1985, 11 Sheets.			
E91		Letter To Mr. James E. Creekman, Group Vice President, From Oliver Ireland, Associate General Counsel, August 1, 1995, 4 Sheets.			
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E94		Letter To Ms. Brenda L. Skidmore, Senior Vice President, From Oliver Ireland, Associate General Counsel, August 30, 1995, 4 Sheets.			
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E101		Money Fund Report, Insured Cash Sweep Options Proliferate, Friday, June 1, 2001, The Reserve Funds, 1 Sheet.			

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E102		Money Market Insight's, Goldman Sachs May Create Bank to Offer Insured Cash Sweeps, August 2002 Issue, 3 Sheets.	
E103		MUNK, Merrill Makes New Push Into Traditional Banking, Dow Jones Newswires, January 3, 2003, 1 Sheet.	
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E105		On Wall Street, Helping Brokers Build A More Successful Business, The Power of CASH, June 2002, 2 Sheets.	
E106		On Wall Street, Helping Brokers Build A More Successful Business, Unusual Products For Unusual Times, May 2001, 2 Sheets.	
E107		Online, www.usabancshares.com, Brave New World, 1999, 2 Sheets.	
E108		Part. 2, Monetary Policy and Reserve Requirements, Subpart - Regulation D, Board Interpretations of Regulation D, Transaction Accounts - Linked to Time Deposits, Volume 1, Federal Reserve Regulatory Service, 2 Sheets.	
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E110		Sweeping Your Firm Into FDIC Insured Deposits, Harken Financial Services, August 4, 2006, 8 Sheets.	
E111		Testimony of Bruce R. Bent, CEO of The Reserve Funds, Before The Financial Institutions and Consumer Credit Subcommittee House Financial Services Committee U.S. House of Representative, Hearing On H.R. 758 and H.R. 859, March 5, 2003, 4 Sheets.	
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E114		The Reserve Funds, NJBA Endorses New Sweep Account Offers New Jersey Banks Deposit Growth, Retention, For Immediate Release, May 23, 2001, 1 Sheet.	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	09/677,535
				Filing Date	10/02/2000
				First Named Inventor	Bruce BENT et al.
				Art Unit	3696
				Examiner Name	Daniel S. Felten
(use as many sheets as necessary)					
Sheet	7	of	7	Attorney Docket Number	049212-0103

NON-PATENT LITERATURE DOCUMENTS

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